


Please type a plus sign (+) inside 

PTO/SB/05 (03-01)

Approved through 10/31/2002 OMB 0651-0032  
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UTILITY  
PATENT APPLICATION  
TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 32887/265439

First Inventor Bassett et al.

Title Software Enabled Wizards

Express Mail Label No. EL 834 336 204 US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

## ADDRESS TO:

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 68]  
(preferred arrangement set forth below)  
- Descriptive title of the Invention  
- Cross Reference to Related Applications  
- Statement Regarding Fed sponsored R & D  
- Reference to sequence listing, a table,  
or a computer program listing appendix  
- Background of the Invention  
- Brief Summary of the Invention  
- Brief Description of the Drawings (if filed)  
- Detailed Description  
- Claim(s)  
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C.113) [Total Sheets 73]
5. Oath or Declaration [Total Pages]  
a. ☐ Newly executed (original or copy)  
b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. ☐ Computer Readable Form (CRF)  
b. Specification Sequence Listing on:  
i. ☐ CD-ROM or CD-R (2 copies); or  
ii. ☐ paper  
c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of  
(when there is an assignee) Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure ☐ Copies of IDS  
Statement (IDS)/PTO-1449 Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17. ☒ Other: Transmittal of Application Under  
37 CFR 1.41(c)

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,  
or in an Application Data Sheet under 37 CFR 1.76:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. /

Prior application information: Examiner

Group / Art Unit:

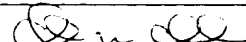
For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied  
under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by  
reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 17. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Labelor ☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

|         |   |           |              |          |              |
|---------|---|-----------|--------------|----------|--------------|
| Name    | Charles W. Calkins                            |           |              |          |              |
| Address | Kilpatrick Stockton LLP<br>1001 W. Fourth St. |           |              |          |              |
| City    | Winston-Salem                                 | State     | NC           | Zip Code | 27101        |
| Country | USA   | Telephone | 336-607-7300 | Fax      | 336-607-7500 |

|                   |   |                                   |         |
|-------------------|---|-----------------------------------|---------|
| Name (Print/Type) | Charles W. Calkins  | Registration No. (Attorney/Agent) | 31,814  |
| Signature         |  | Date                              | 11/9/01 |

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Bassett et al.,  
Serial No. : To Be Assigned  
Filing Date : Transmitted Herewith  
For : Software Enabled Wizards

Assistant Commissioner for Patents  
Box: PATENT APPLICATION  
Washington, D.C. 20231

TRANSMITTAL OF APPLICATION UNDER 37 CFR 1.41(c)

Sir:

The undersigned attorney hereby makes application for U.S. Letters Patent on behalf of the following Applicants, whose addresses and citizenships are as follows:

| <u>Inventor</u>         | <u>Address</u>                                      | <u>Citizenship</u> |
|-------------------------|---|--------------------|
| Jimmy G. Bassett        | 327 Crawford Hollow Road<br>Fall Branch, TN 37656   | USA                |
| William Joseph Burgess  | 2309 Wynwood Drive<br>Jonesborough, TN 37659-3024   | USA                |
| James Palmer Dickerson  | 28 Willowbrook Dr.<br>Kingsport, TN 37660-7519      | USA                |
| Michael Eugene Donelson | 307 Cedar Valley Blvd.<br>Gray, TN 37615-3769       | USA                |
| Dennis Joseph Golob     | 2416 Rivermont Dr.<br>Kingsport, TN 37660-2317      | USA                |
| Samuel LeRoy. Gott      | 2409 Rivermont Dr.<br>Kingsport, TN 37660-2316      | USA                |
| William Carl Jackson    | 1852 Gingercake Circle, #306<br>Rock Hill, SC 29732 | USA                |
| Steven L. Lyons         | 916 Huntington Run Lane<br>Kernersville, NC 27284   | USA                |
| Stacey James Marsh      | 507 Rosemont Ave.<br>Church Hill, TN 37642-4226     | USA                |
| Rick Miller             | 304 Coralwood Drive<br>Kingsport, TN 37663-2714     | USA                |
| David P. Fischer        | 113 Arondale Court<br>Kingsport, TN 37664-4415      | USA                |
| Henry Gonzalez, Jr.     | 434 Isley Drive<br>Blountville, TN 37617-5712       | USA                |
| James W. Mercer, Jr.    | 1033 Hanover Court<br>Kingsport, TN 37660-5840      | USA                |

| <u>Inventor</u>            | <u>Address</u>   | <u>Citizenship</u> |
|----------------------------|--|--------------------|
| Bobby J. Quillen           | 1065 Sussex Drive<br>Kingsport, TN 37660-5836            | USA                |
| Srihari Sitarama Dantuluri | 1000 University Blvd., Apt. #C-56<br>Kingsport, TN 37660 | India              |

Please address all correspondence and telephone calls in connection with this application to:

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Kilpatrick Stockton LLP  
1001 West Fourth St.  
Winston-Salem, NC 27101  
(336) 607-7300 (Phone)  
(336) 607--7500 (Facsimile)

Respectfully submitted,



Charles W. Calkins (Reg. No. 31,814)

Date: 11/9/01  
KILPATRICK STOCKTON LLP  
Kilpatrick Stockton LLP  
1001 W. 4th Street  
Winston-Salem, NC 27101  
(336) 607-7300

32887-265439  
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**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$2558.00)

**Complete if Known**

|                      |                |
|----------------------|----------------|
| Application Number   | To Be Assigned |
| Filing Date          | Herewith       |
| First Named Inventor | Bassett et al. |
| Examiner Name        | To Be Assigned |
| Group Art Unit       | To Be Assigned |
| Attorney Docket No.  | 32887/265439   |

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

16-1435

Kilpatrick Stockton LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments  
☐ Charge any additional fee(s) during the pendency of this application  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.
**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description        | Fee Paid |
|-------------------------------|-------------------------------|------------------------|----------|
| 101 740                       | 201 370                       | Utility filing fee     | 740      |
| 106 330                       | 206 165                       | Design filing fee      |          |
| 107 510                       | 207 255                       | Plant filing fee       |          |
| 108 740                       | 208 370                       | Reissue filing fee     |          |
| 114 160                       | 214 80                        | Provisional filing fee |          |

SUBTOTAL (1) (\$740)

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 121                | -20** = 101  | x 18 = 1818    |          |
| 3                  | -3** = 0     | x 0 = 0        |          |
| Multiple Dependent |              |                |          |

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  |
|-------------------------------|-------------------------------|--|
| 103 18                        | 203 9                         | Claims in excess of 20                                     |
| 102 84                        | 202 42                        | Independent claims in excess of 3                          |
| 104 280                       | 204 140                       | Multiple dependent claim, if not paid                      |
| 109 84                        | 209 42                        | ** Reissue independent claims over original patent         |
| 110 18                        | 210 9                         | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$1818)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

| Large Entity<br>Fee Code (\$) | Small Entity<br>Fee Code (\$) | Fee Description  | Fee Paid |
|-------------------------------|-------------------------------|--|----------|
| 105 130                       | 205 65                        | Surcharge - late filing fee or oath  |          |
| 127 50                        | 227 25                        | Surcharge - late provisional filing fee or cover sheet                     |          |
| 139 130                       | 139 130                       | Non-English specification  |          |
| 147 2,520                     | 147 2,520                     | For filing a request for <i>ex parte</i> reexamination                     |          |
| 112 920*                      | 112 920*                      | Requesting publication of SIR prior to Examiner action                     |          |
| 113 1,840*                    | 113 1,840*                    | Requesting publication of SIR after Examiner action                        |          |
| 115 110                       | 215 55                        | Extension for reply within first month                                     |          |
| 116 400                       | 216 200                       | Extension for reply within second month                                    |          |
| 117 920                       | 217 460                       | Extension for reply within third month                                     |          |
| 118 1,440                     | 218 720                       | Extension for reply within fourth month                                    |          |
| 128 1,960                     | 228 980                       | Extension for reply within fifth month                                     |          |
| 119 320                       | 219 160                       | Notice of Appeal   |          |
| 120 320                       | 220 160                       | Filing a brief in support of an appeal                                     |          |
| 121 280                       | 221 140                       | Request for oral hearing   |          |
| 138 1,510                     | 138 1,510                     | Petition to institute a public use proceeding                              |          |
| 140 110                       | 240 55                        | Petition to revive - unavoidable   |          |
| 141 1,280                     | 241 640                       | Petition to revive - unintentional   |          |
| 142 1,280                     | 242 640                       | Utility issue fee (or reissue)   |          |
| 143 460                       | 243 230                       | Design issue fee   |          |
| 144 620                       | 244 310                       | Plant issue fee  |          |
| 122 130                       | 122 130                       | Petitions to the Commissioner  |          |
| 123 50                        | 123 50                        | Processing fee under 37 CFR 1.17(q)  |          |
| 126 180                       | 126 180                       | Submission of Information Disclosure Stmt                                  |          |
| 581 40                        | 581 40                        | Recording each patent assignment per property (times number of properties) |          |
| 146 740                       | 246 370                       | Filing a submission after final rejection (37 CFR § 1.129(a))              |          |
| 149 740                       | 249 370                       | For each additional invention to be examined (37 CFR § 1.129(b))           |          |
| 179 740                       | 279 370                       | Request for Continued Examination (RCE)                                    |          |
| 169 900                       | 169 900                       | Request for expedited examination of a design application                  |          |

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

**SUBMITTED BY**

Name (Print/Type) Charles W. Calkins

Registration No. 31,814  
(Attorney/Agent)**Complete (if applicable)**

Telephone 336-607-7300

Signature

Date 11/9/01

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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"Express Mail" mailing label number: EL 834 336 204 US


Date of Deposit: November 9, 2001

Type of Document(s): Utility Patent Application Transmittal;  
Specification (68 Pages, including 1 Page  
Abstract;  
73 Sheets of Formal Drawings;  
Transmittal of Application Under  
37 CFR 1.41(c);  
Fee Transmittal for FY 2001 (*In Duplicate*);  
and  
Return Postcard

Serial No.: Unassigned

Date Filed: Herewith

I hereby certify that the documents identified above are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to the Assistant Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231.

  
Toyce E. Southern (Signature)